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Dated: March 3, 2004

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Attorney Docket No.: 30256/37516/US  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Mark A. Dugan, et al.

Application No.: 09/930,929

Group Art Unit: 2839

Filed: August 16, 2001

Examiner: Jean F. Duverne

For: Method of Index Trimming a Waveguide and  
Apparatus Formed of the Same

**RESUBMISSION OF REQUEST FOR CORRECTION OF INVENTORSHIP**  
**PURSUANT TO 37 C.F.R. §1.48(B)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir or Madam:

On September 9, 2003, applicants' representative filed a request for correction of inventorship pursuant to 37 C.F.R. §1.48(B). The request was accompanied by the requisite fee. On December 16, 2003, the Patent Office mailed a notice of allowance. The notice made no mention of whether the request to correct inventorship was granted. The applicants' representatives have contacted the Patent Office on numerous occasions to determine if the request was entered, but thus far no one has been able to confirm said entry. As such, the request for correction of inventorship is resubmitted by this paper. The issue fee is due by March 16, 2004. It is respectfully requested that the request be granted and the inventorship corrected before issuance and publication of the corresponding patent.

This paper is being filed, before payment of the issue, to correct the listed inventors named in this application. Although the inventorship was properly listed upon

Application No.: 09/930,929

Docket No. 30256/37516/US

filing, the cancellation of claims requires that fewer than all of these named inventors be listed as actual inventors on the remaining claimed subject matter.

The following two named inventors are to be deleted:

William G. Clark, and

Robert L. Maynard.

The remaining inventors are properly listed.

Pursuant to 37 C.F.R. §1.48(B), the below-signed, duly appointed representative acknowledges that the subject matter invented by these two individuals (Clark and Maynard) is no longer claimed in this application.

The processing fee set forth in 37 C.F.R. §1.17(i) was included with the previous request filed on September 9, 2003. Thus, no fees are deemed necessary. However, if the Commissioner determines that additional fees are required, the Commissioner is authorized to debit such fees from the Deposit Account No. 13-2855.


An assignment from the corrected inventive entity is attached hereto.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN LLP

March 3, 2004

By:

  
Paul B. Stephens (Reg. No. 47,970)  
Attorneys for Applicants  
6300 Sears Tower  
233 South Wacker Drive  
Chicago, Illinois 60606-6357  
(312) 474-6300

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Mark A. Dugan (09/08/2003), Ali A. Said (09/08/2003), and Philippe Bado (09/08/2003)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: see Box 1, conveying parties

2. Name and address of receiving party(ies)

Name: TRANSLUME, INC

Internal Address:

Street Address:

755 Phoenix Drive,

City: Ann Arbor

State: Michigan

Zip: 48108

Additional name(s) & address(es) attached: ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the new application is:

A. Patent Application No.(s):  
09/930,929

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Paul B. Stephens  
MARSHALL, GERSTEIN & BORUN LLP

Internal Address: Atty. Dkt.: 30256/37516

Street Address:  
233 S. Wacker Drive, Suite 6300  
Sears Tower

City: Chicago State: IL Zip: 60606-6357

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed  
☐ Authorized to be charged to deposit account  
☐ Authorized to be charged to credit card  
(Form 2038 enclosed)

8. Deposit account number:

13-2855

(Attach duplicate copy of this page if paying by deposit)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Paul B. Stephens - 47,970  
Name of Person Signing

[Signature]  
Signature

September 9, 2003  
Date

Total number of pages including cover sheet, attachments, and documents: 3

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV233430215US, in an envelope addressed to: MS RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: September 9, 2003

Signature: [Signature]

Richard Zimmermann

## ASSIGNMENT

Serial No: 09/930,929  
Filed: August 16, 2001  
Title: Method of Index Trimming a Waveguide and Apparatus Formed of the Same

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned hereby assign to, Translume, Inc., a Michigan corporation, 755 Phoenix Drive, Ann Arbor, Michigan 48108, (hereinafter "assignee"), its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, executed by the undersigned on the below-identified dates, and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension thereof.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned warrant themselves to be the owners of the interest herein assigned and to have the right to make this assignment and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said consideration the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation, continuation-in-part and substitute applications for said invention or improvements, and any necessary oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns, may deem necessary or expedient, and for said consideration the undersigned further agree upon the request of said assignee, its successors or assigns, in the event of any application or Letters Patent assigned herein becoming involved in Interference, to cooperate to the best of the ability of the undersigned with said assignee, its successors or assigns, in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, the undersigned hereby agreeing to perform, upon request, any and all affirmative acts to obtain said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in said assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by said assignee, its successors and assigns, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

MARSHALL, GERSTEIN & BORUN, 6300 Sears Tower, 233 South Wacker Drive, Chicago, Illinois 60606-6357

Joint Inventors

WITNESS my hand this 8th day of September, 2003.

Philippe Bado  
Philippe Bado

State of MICHIGAN }  
County of WASHTENAW } SS

On this 8th day of September, 2003 before me, a Notary Public in and for the County and State aforesaid, appeared Philippe Bado, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he/she executed said instrument as his/her free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the same day and year last above given.

My Commission Expires: 10/27/2005

Allison Scott  
Notary Public  
JACKSON COUNTY, acting in  
Washtenaw

WITNESS my hand this 8th day of September, 2003.

Mark Dugan  
Mark Dugan

State of MICHIGAN }  
County of WASHTENAW } SS

On this 8th day of September, 2003 before me, a Notary Public in and for the County and State aforesaid, appeared Mark Dugan, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he/she executed said instrument as his/her free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the same day and year last above given.

My Commission Expires: 10/27/2005

Allison Scott  
Notary Public  
JACKSON COUNTY, acting in  
Washtenaw

WITNESS my hand this 8th day of September, 2003.

Ali A. Said  
Ali A. Said

State of MICHIGAN }  
County of WASHTENAW } SS

On this 8th day of September, 2003 before me, a Notary Public in and for the County and State aforesaid, appeared Ali A. Said, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he/she executed said instrument as his/her free and voluntary act and for the uses and purposes therein expressed.

**ALLISON L. SCOTT**  
Notary Public, Jackson County, MI  
My Commission Expires Oct 27, 2005